



CTPAT Workshop: Trade Compliance & Forced Labor

July 20, 2022



2022

**TRADE FACILITATION AND
CARGO SECURITY SUMMIT**

Hosted By: U.S. Customs and Border Protection



CTPAT
U.S. CUSTOMS AND BORDER PROTECTION

What is the CTPAT Program?

CTPAT SECURITY

A voluntary **public-private sector partnership program** which recognizes that CBP can provide the **highest level of cargo security** only through close cooperation with the principal stakeholders of the international supply chain such as importers, carriers, consolidators, licensed customs brokers, and manufacturers.

CTPAT TRADE COMPLIANCE

A voluntary program that provides the opportunity for importers who have made a commitment of resources to **assume responsibility for monitoring their own compliance** in exchange for benefits.

CTPAT Security & CTPAT Trade Compliance Program Overview

CTPAT Security

- Regulated by the Safe Port Act
- Partners must adhere to the Minimum Security Criteria
- All partners have an assigned supply chain security specialist
- Companies must submit a security profile and a detailed account of their business operations
- A company must undergo a validation within a year of applying and every four years thereafter

CTPAT Trade Compliance

- Governed by the CTPAT Trade Compliance Handbook
- All partners are assigned a National Account Manager
- Companies must submit a questionnaire and an application
- A company must undergo an Application Review Meeting

CTPAT Trade Compliance Program Overview

2014



The Importer Self Assessment (ISA) program changes from a program under the Office of Trade to the Office of Field Operations where the Trusted Trader Pilot Federal Register Notice is announced, transitioning ISA to Trade Compliance.

2021



The Trusted Trader pilot concludes with the foundation built for the CTPAT Trade Compliance program.

2022



The CTPAT program implements the CTPAT Trade Compliance component including the inclusion of the Forced Labor program requirements. The CTPAT program now consists of the CTPAT Security and CTPAT Trade Compliance divisions.

CTPAT Trade Compliance Implementation Strategy

CTPAT Trade Compliance Handbook to be published to CBP.gov

July
2022

The CTPAT Trade Compliance portal becomes available for new applicants

August
2022

The CTPAT Trade Compliance Handbook to be updated with the Forced Labor program requirements

September
2022

CTPAT Trade Compliance Forced Labor Requirements

The CTPAT Trade Compliance program division has worked with the Customs Operation Advisory Committee (COAC) for more than three years to **create and define the CTPAT Trade Compliance requirements for the prevention of Forced Labor.**

In addition to maintaining a social compliance program, as required by the CTPAT Security program, CTPAT Trade Compliance *partners must comply with six program requirements.*

CTPAT Trade Compliance Forced Labor Requirements

In addition to working with the COAC organization on the development of the Forced Labor requirements, the CTPAT Trade compliance component also worked with:

The Forced Labor Division

Trade Operations Division

Partner Government Agencies

Industry Partners

CTPAT Trade Compliance Forced Labor Requirements

★ Risk Based Business Mapping

★ Due Diligence and Training

★ Code of Conduct

★ Remediation Plan

★ Evidence of Implementation

★ Shared Best Practices

CTPAT Trade Compliance Forced Labor Implementation Strategy

The Forced Labor Requirements for the CTPAT Trade Compliance Program become mandatory on August 1, 2022

New Applicants

All new applicants must meet the CTPAT Trade Compliance Forced Labor program requirements at the time of their application.

Application Guidance: Required documentation should be uploaded with Question 13 on the application, in the “System Controls” section of the portal.

CTPAT Trade Compliance Forced Labor Implementation Strategy

The Forced Labor Requirements for the CTPAT Trade Compliance Program become mandatory on August 1, 2022

Existing Partners

Existing CTPAT Trade Compliance partners have one year to fully implement the CTPAT Trade Compliance Forced Labor requirements. As soon as possible, but no later than August 1, 2023, the CTPAT Trade Compliance partner must provide evidence of implementing the social compliance program and the code of conduct via their CTPAT Trade Compliance portal account. This documentation will be reviewed as part of the Annual Notification Letter (ANL) review following the submission.

Updates will be required to be submitted annually, as part of the ANL submission process.

Points of Contact

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